

October 12, 2019

To,  
BSE Limited  
Security Code - 517385

National Stock Exchange of India Limited  
Symbol - SYMPHONY

**Sub.: Submission of Compliance Certificate under Regulation 40 (10) of SEBI (LODR) Regulations, 2015**

Dear Sir,

In Compliance with Regulation 40 (9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith a compliance certificate obtained from M/s. Jalan Alkesh & Associates, Practicing Company Secretaries, Ahmedabad for the half year ended on September 30, 2019.

Kindly take the same on your record and oblige.

Thanking you,

Yours truly,  
**For Symphony Limited**



**Mayur Barvadiya**  
**Company Secretary**



Encl.: as above

Email: [companysecretary@symphonylimited.com](mailto:companysecretary@symphonylimited.com)



B.Com, Company Secretaries  
Resolution Professional  
(IBBI / IPA-002/IP/N 00718/2018-2019 / 12199)

*Jalan Alkesh & Associates*

304, Agrawal Arcade, Nr. Ambawadi Circle,  
Ambawadi, Ahmedabad - 380006  
Tel. : (079) 40024082 (M) 98255 - 55097  
E-mail : jalanalkesh@gmail.com

**Certificate under Regulation 40 (9) of the SEBI (LODR) Regulations,  
2015 For the Half Year ended on September 30, 2019**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Symphony Limited** maintained by Karvy Fintech Private Limited Registered Office : Karvy Selenium Tower, B, Plot No. 31 & 32, Gachi Bowli, Financial District, Nanakramguda, Serilingampally, Hyderabad – 500 032 pertaining to transfer of equity shares of the company for the period from **April 1, 2019 to September 30, 2019** for the purpose of issuing a Certificate as per Regulation 40 (9) of the SEBI (Listing Obligation and Disclosure Requirement) Regulations, 2015 and based on the information provided by the Company, I hereby certify that the Company has delivered during half year ended on **September 30, 2019**.

- A) Share Certificates relating to the Share Transfer forms, received during the period from **April 1, 2019 to September 30, 2019** as entered in the Memorandum of Transfers have been issued within thirty days from respective date of lodgment of each form excepting those rejected on technical grounds.
- B) The Company has issued duplicate Share Certificates within 30 days from the date of lodgement, subject to verification. No requests for split certificates have been received.

For, JALAN ALKESH & ASSOCIATES  
COMPANY SECRETARIES

Date : 12/10/2019  
Place : Ahmedabad



ALKESH JALAN  
PROPRIETOR  
M. No. 15677  
CP No. 4580

UDIN : A015677A000063615